

## **Prevention and management of conflicts of interest**

The prevention and management of conflicts of interest at Ekkio Capital are part of the general principles laid down by Directive 2004/39/EC on Markets in Financial Instruments (MiFID) transposed into French law in Article L.533-10 of the COMOFI and Articles 313-18 to 313-22 of RGAMF.

As such, there is at Ekkio Capital:

- A policy for managing conflicts of interest
- Appropriate procedures to detect situations of conflict of interest and to deal with any potential or actual conflicts
- A register of conflict of interest situations encountered

Preventive measures have been put in place. They concern, among other things

- The ethics of employees
- Protection of confidential information, privileged information and professional secrecy
- Operations carried out by employees on their own behalf
- Benefits and gifts received by employees from customers or suppliers
- The use of computerized communication tools by employees
- The regular review of all activities carried out in order to detect situations that are likely to give rise to conflicts of interest.

Finally, in the event that Ekkio Capital finds that the measures deployed are insufficient to guarantee, with reasonable certainty, that the risk of harming the interests of clients cannot be avoided, the company will inform the clients concerned in writing of the nature of the conflict or the source so that they can make their decision in full knowledge of the facts.

Any additional information on this policy of prevention and resolution of conflicts of interest can be obtained by clients by sending a request to Ekkio Capital.

